



DEPARTMENT OF BUSINESS AFFAIRS AND CONSUMER PROTECTION
CITY OF CHICAGO

BUSINESS LICENSE PLAN OF OPERATION

Licensee: Garcia's Chicago, LLC
DBA: Garcia's Jazz Restaurant

Premises: 1001 West Washington Boulevard
Chicago, Illinois 60607

Application Type: Consumption on Premises – Incidental Activity (1475), Public Place of Amusement (1050), and Retail Food Establishment (1006)

Account Number: 456842

Site Number: 01

Pursuant to City of Chicago Municipal Code ("M.C.C.") Sections 4-60-040 (h) and 4-156-311 (d)3(A), the Department of Business Affairs and Consumer Protection ("BACP") / Local Liquor Control Commission ("LLCC") of the City of Chicago and the above-named Licensee have agreed to the issuance of a Consumption on Premises – Incidental Activity, Public Place of Amusement, and Retail Food Establishment licenses (collectively "Licenses") under the following

1. **Hours of Operation:**

Monday-Friday,	10:00 a.m. – 2:00 a.m.
Saturday,	11:00 a.m. – 3:00 a.m.
Sunday	11:00 a.m. – 2:00 a.m.
2. Occupancy – Licensee shall maintain the occupancy certified by the Building Commission. This shall be a "seated" venue with limited standing space.
3. Noise – Licensee will prevent excessive noise from music and/or patrons during business hours from customers entering and leaving the premises. The licensee wishes to make clear that this is not an institution that will play high-volume music. It is a seated restaurant, and patrons will be reminded that the venue has a "quiet" policy, with no loud talking permitted during the musical performances. The following methods will be employed to ensure this is attended to at all times:
 - a. The currently existing "outdoor courtyard" area on the N. Morgan Street side will be fully enclosed with double-pane glass.
 - b. Signage will be posted at the doors, including "Please Respect Our Neighbors, Please Exit Quietly"
 - c. The stage will be aligned, with the rear against the wall on W. Washington Blvd, so that the music "plays" out of the speakers toward the rear wall, which abuts the adjacent parking garage.
 - d. The entry/exit/vestibule area shall be accessible through two sets of doors, so as to minimize the emanation of any noise from the restaurant.

- e. Licensee shall comply with all applicable provisions of the Chicago Noise and Vibration Control Ordinance. Licensee shall monitor noise levels (from music and patrons) emanating from the premises and shall take immediate action to alleviate and abate any excessive noise at any time while the restaurant is open.
 - f. Licensee will soundproof walls and enclose speaker cabinets using modern noise reduction techniques to increase sound absorption and minimize reflection.
 - g. Licensee will make in-house, high-quality backline available to artists so as to minimize the amount of equipment that shall be loaded in and out of the venue. Furthermore, Licensee plans to have talent play extended (multi-night) engagements whenever possible, further minimizing the moving of gear.
4. Obtrusive Customer Behavior – If a customer inside the establishment is acting obtrusively, security staff and/or the manager on duty are instructed to kindly approach the customer and request that the customer leave the premises. In addition, the manager on duty or security staff will assist the customer in getting a taxi, or if with friends, the manager on duty and/or security staff will also kindly request the friend(s) to please leave quietly with the obtrusive customer.
5. Loitering at Front Door – While there will generally be customer traffic at the front door, customers and other individuals will not be allowed to loiter in front of the premises. Should any potential patrons linger, security will be asked to have them either disperse, or (time permitting) to join the queue for the next seating.
6. Queuing for Entry – Licensee shall make all reasonable efforts to admit patrons with tickets and/or reservations as quickly as possible, and to check them in once they are inside the venue, so as to minimize any noise outside the doors. Should it be necessary, Licensee shall allow the formation of a line on the exterior of the premises. A security guard shall be dedicated to the line (when necessary) to ensure that those waiting in line are respectful of the neighborhood by keeping the noise level to a minimum, and shall prevent patrons from blocking the public way. Any additional people seeking entry once the venue is at capacity shall be refused, and quietly disbursed from the area by security staff (firmly) telling them they need to leave. Licensee shall terminate use of the line at 10:30pm Sunday – Thursday, and at 12:30am on Fridays, Saturdays, and nights preceding holidays.
7. Rear Door – The rear door of the premises shall not be used for patrons entering or exiting the premises. The rear door shall remain secure during hours of operation and shall only be used by staff on “as needed” basis and to load equipment into and out of the Venue. Patrons shall exit the premises through the rear door in the event of an emergency only.
8. Accumulation of Litter – Licensee will control the accumulation of litter from its customers and other individuals by maintaining a routine sweep of litter outside the establishment by its staff. The walk-around sweeps will occur at opening and periodically through closing each night. The final sweep each night of operation will include a sweep of litter on each side of the premises. The Licensee shall clean the exterior premises daily to remove any trash and litter. Licensee shall maintain trash containers to accommodate any additional waste generated. The Licensee shall ensure that all trash containers shall be fitted with a tight-fitting lid that shall be kept covered and/or locked except when opened for the disposal or removal of garbage.
9. Disposal of Litter and Garbage – Licensee will handle the disposal of accumulated Litter and Garbage by employing a private carting service to regularly and routinely dispose of such garbage before accumulation shall become uncontained, outside of dedicated containers, or generally troublesome.
10. Fights, Verbal Harassment and Criminal Activity – In the event of criminal activity outside, on, or within sight of the premises, security staff and the manager on duty are responsible to address/report the situation, and to call 911 in the case of an emergency. In the event of any such activity occurring within the premises, the manager on duty and security staff are instructed to immediately address the situation, contacting police if necessary, and to intervene in a manner consistent with the safety of all individuals. If possible, offenders shall be peacefully and quietly removed from the premises.
11. Traffic Flow – The Licensee shall assist patrons requesting taxi/Uber/Lyft services arriving at and departing from the licensed premises and ensure that taxis and other vehicles dropping off passengers at the licensed premises do so efficiently so that the flow of street traffic is not disturbed. Patrons exiting the premises who are possibly intoxicated

will be encouraged to ride with friends, or to take a taxi/Uber/Lyft home, and will be assisted by security staff into taxis/Uber/Lyft when possible.

12. Package Goods – Licensee will not sell package goods for consumption off the premises.
13. Legal Identification Program – Alcohol serving staff and security staff will be required to pass an alcohol service training course (such as TIPS or BASSETT) and will ask any questionable patrons to submit legal identification prior to selling any alcoholic beverages. Certifications of completion of the course will be maintained in the business offices of the premises. Staff will take pro-active steps to prevent the intoxication of patrons. Patrons exhibiting signs of visible intoxication will be refused alcoholic beverage service.
14. Visibly Intoxicated Patrons – Licensee shall not allow any patron who is exhibiting visible signs of intoxication onto the premises.
15. Operation – Licensee will operate as a restaurant/live music venue. Licensee shall not operate the Premises as a night club nor have “bottle service.”
16. Outdoor Lighting – The Licensee shall install and maintain adequate lighting on all sides of the licensed premises including any alleyway accessible by the business to ensure the safety of all patrons and employees. The lighting shall include halogen or LED fixtures and be able to produce discernable images from a distance of 15 feet in front and on all sides of each entry and exit.
17. Security Cameras / Video Surveillance – The Licensee shall install a camera security system inside and outside of the premises as follows:
 - a. The cameras are sufficiently light sensitive and provide sufficient image resolution to produce easily discernable images;
 - b. The images recorded by the cameras shall be capable of being viewed through use of compact disc, electronic file transfer and other digital media and shall be capable of being transferred to a variety of portable form of media;
 - c. The cameras shall view and shall be able to record images of persons and cars 1) along the business’ driveways / alleys / streets; 2) outside the building; 3) inside of the licensed premises; and 4) on the sidewalks adjacent to the business property;
 - d. The cameras shall be able to record discernable images from a minimum of 10 feet in front of and on the sides of any business entrance;
 - e. The Licensee shall maintain video surveillance for at least 30 days. Copies of the video surveillance shall be made available to the Chicago Police Department upon its request;
 - f. The system will be set to record when business is being conducted;
 - g. Licensee shall post signage in a conspicuous manner and at appropriate locations notifying the public that video surveillance cameras are in operation;
 - h. Licensee shall enable exterior cameras to directly feed into the Chicago Police Department.
18. Security Staff – The Licensee shall employ in-house security staff of a sufficient and adequate number so as to ensure the reasonable safety of all employees and patrons of the premises.
 - a. Security guards shall patrol the interior and exterior areas of the establishment, including all business entrances, exits, and sidewalks;
 - b. Security guards shall patrol within the premises to ensure customers conduct themselves in a lawful manner;
 - c. Security guards shall take affirmative steps to move loiterers and trespassers outside the business away so that sidewalks and entrances to the store are not impeded;
 - d. Security guards and any other employees shall immediately, unless, report any and all illegal activities occurring on or within sight of the licensed premises to 911. Calls to 911 shall be made from a landline; if calls to 911 are made from another phone, the phone number of that phone shall be recorded in the “incident and activity log” subparagraph (f) and security/employees will identify themselves as employees of Premises to 911 operator;

- e. Security guards shall maintain an "incident and activity log" that lists all events requiring their intervention, and all calls to 911. This "log" shall document the date, time, reason and outcome of the event or phone call; and
 - f. Security guards and other employees shall sign complaints and testify in Court as necessary;
 - g. Security shall deny entry to any person who is visibly intoxicated and/or disorderly.
 - h. Security shall prevent consumption of alcoholic beverages on the public way, or by those queued and awaiting admission to the venue. Carrying alcohol outside of the licensed/permitted location is strictly forbidden except as legally permitted in the City of Chicago.
 - i. Security shall wear identifiable clothing or arm bands.
19. Non-Smoking Laws – The Licensee will enforce all applicable City, State, and Federal non-smoking laws at they relate to both the interior and exterior of the premises.
 20. Entertainment –Licensee shall not have any event take place at the premises that involves a "Guest DJ." Licensee shall not allow any event to take place where Licensee vacates the Premises and allows a third-party to hire their own staff (bartenders, servers, hosts and security). All entertainment offered at the Premises shall be booked by Licensee.
 21. Dance Floor – While guests will be permitted to dance at the Premises, Licensee shall not have a dance floor nor will Licensee build a dance floor.
 22. Expansion – Licensee agrees not to expand the Premises without first applying to the City of Chicago for the right to expand the Premises.
 23. Late Hour License – The Licensee agrees that it will not, at any time, apply for a City of Chicago Late Hour Liquor License.
 24. Valet Service – Licensee shall ensure all contracted Valet companies are in compliance with the City of Chicago operating procedures and are in "good standing" with the Illinois Secretary of State. Licensee shall task security personnel to ensure that the valet service is operating efficiently and professionally. Licensee shall discourage any illegal parking of vehicles by its patrons in front of and around the premises by refusing to service any such person who is parking their vehicle illegally. Licensee's security personnel will monitor the exterior of the premises to prevent and deter patrons from parking illegally.
 25. Evacuation Plan – Licensee will have an evacuation plan that has been approved by the Chicago Fire Department in case of an emergency. All personnel will be aware of the plan and will know how and when to evacuate all patrons as efficiently as possible.
 26. Management :
 - a. The Licensee and his agents shall fully cooperate with the Local Liquor Control Commission (LLCC), Department of Business Affairs and Consumer Protection (BACP) and the Chicago Police Department (CPD) in all inspections and investigations. The Licensee shall, upon request, produce any legally required records that have been requested by the above listed within ten (10) business days of such request.
 - b. Licensee shall immediately address any public nuisance issues which may adversely impact the health, safety and welfare of the community.
 - c. Meetings and Cooperation with Chicago Police Department – The Licensee shall attend neighborhood District C.A.P.S. (Community Alternative Policing Strategy) and Hospitality/Business meetings, meetings with the office of the Alderman, Police Commander and any similar CPD-sponsored meetings to improve awareness with community concerns of the neighborhood. The Licensee and his agents shall fully cooperate with the Department of Business Affairs and Consumer Protection (BACP) and the Chicago Police Department (CPD) in all inspections and investigations.
 27. Signage – The Licensee shall post signs in conspicuous and prominent locations to indicate: 1) "no loitering"; 2) "premises are under video surveillance"; 3) "respect neighbors, leave quietly"; All signs shall be posted inside and outside the premises. Additional signage deemed necessary by the CPD or CFD will be similarly posted.

28. Community Participation – The Licensee shall work with the local alderman and local community groups at their request and upon reasonable notice to identify and address any issues with the operation of the business, including noise, loitering, crime and other quality of life issues.

The conditions of this liquor license are legally binding and may be enforced by City of Chicago enforcement authorities under M.C.C. §§ 4-60-040(h) and 4-156-311 (d)3(A). All other conditions of the license are governed by the City of Chicago Municipal Code. Violation of the above stated conditions may result in the imposition of a fine and/or suspension or revocation of all business licenses issued to the Licensee. Violations of the above stated conditions may also result in the issuance of cease and desist orders prohibiting the activity which violates the conditions of the liquor license.

The conditions of the business licenses issued pursuant to this Plan of Operation shall apply to the business address and Licensee and to all officers, managers, members, partners and direct or indirect owners of the entity of which is licensed. The sale of the Licensee to other persons purchasing the stock of the licensed entity shall be subject to the same conditions set forth in this Plan of Operation. Any and all potential new owners of the licensed entity shall be subject to the same conditions set forth in this Plan of Operation.

It shall be the duty of every person conducting, engaging in, operating, carrying on or managing the above-mentioned business entity to post this Business License Plan of Operation next to the business license certificates in a conspicuous place at the business address.

Licensee: Garcia's Chicago, LLC

Address: 1001 West Washington Boulevard
Chicago, Illinois 60607


Peter Shapiro, LLC Manager
Garcia's Chicago, LLC

7/29/19
Date


Shannon Trotter, Commissioner
Local Liquor Control Commission
City of Chicago

7-22-2019
Date